



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Confirmation: 1512

Rodriguez, et al.

Art Unit: 2614

Serial Number: 10/780,448

Examiner: Beliveau, Scott E.

Filing Date: February 13, 2004

Docket No.: A-9740 (191930-1360)

Title: **SYSTEM AND METHOD FOR EXPIRATION REMINDERS OF RENTABLE MEDIA OFFERINGS**

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

This information disclosure statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, and specifically:

- ☒ under 37 CFR 1.97(b), or  
(within Three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:  
☐ Statement Under 37 C.F.R. 1.97(e), or  
☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97(b) time period, but before the final office action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:  
☐ Statement under 37 CFR 1.97(e), and  
☐ a \$180.00 petition fee set forth in 37 CFR 1.17(p).  
(Filed after final office action or notice of allowance, whichever occurs first, but before payment of the issue fee)

- ☐ Enclosed is a check in the amount of \$ .
- ☐ Enclosed is Credit Card Payment Form (PTO-2038) in the amount of \$.
- ☐ Please charge \$ to deposit account .
- ☒ At any time during the pendency of this application, please charge any fees required to Deposit Account 20-0778 pursuant to 37 CFR 1.25. The Commissioner is hereby requested to credit any overpayment to Deposit Account No. 20-0778.
- ☒ Applicant(s) submit herewith *Form PTO 1449 - Information Disclosure Statement by Applicant* together with copies (where required) of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may or may not be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56. As required by 37 C.F.R. §1.98(a), a legible copy of each document is provided.

- ☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on the form PTO 1449 and is enclosed herewith.

The following rights are reserved by the Applicant(s): the right to establish the patentability of the claimed invention over any of the listed documents should they be applied as reference, and/or the right to prove that some of these documents may not be prior art, and/or the right to prove that some of these documents may not be enabling for the teachings they purport to offer.

This statement should not be construed as a representation that an exhaustive search has been made, or that information more material to the examination of the present application does not exist. Any statements or identifications regarding the relevance of any portion(s) of cited references should not be construed as a representation that the most relevant portion(s) have been identified, and the absence of such statements or identifications should not be construed as representations that there are no relevant portion(s). The Examiner is specifically requested not to rely solely on the materials submitted herewith. The Examiner is requested to conduct an independent and thorough review of the documents, and to form independent opinions as to their significance.

It is requested that the information disclosed herein be made of record in this application and that the Examiner initial and return a copy of the enclosed PTO-1449 to indicate the documents have been considered.

Respectfully Submitted,

**THOMAS, KAYDEN, HORSTEMEYER  
& RISLEY, L.L.P.**

By:

  
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Form PTO-1449

Attorney Docket No.  
**A-9740 (191930-1360)**Serial No.  
**10/780,448****INFORMATION DISCLOSURE CITATION**Applicant  
**Rodriguez, et al.**Filing Date  
**February 13, 2004**Group  
**2614***(Use several sheets if necessary)***U.S. PATENT DOCUMENTS**

Examiner Initials	Item	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	A	4,827,250	05-1989	Stallkamp, Richard W.	345	441	
	B	4,984,152	01-1991	Mueller, Michael J.	715	856	
	C	5,410,326	04-1995	Goldstein, Steven W.	348	734	
	D	5,481,542	01-1996	Logston et al.	370	094.200	
	E	5,515,495	05-1996	Ikemoto, Hiroyuki	345	835	
	F	5,530,754	06-1996	Garfinkle, Noroton	725	8	
	G	5,585,821	12-1996	Ishikura et al.	715	856	
	H	5,650,831	07-1997	Farwell	348	734	
	I	5,721,829	02-1998	Dunn et al.	725	87	
	J	5,724,106	03-1998	Autry et al.	348	734	
	K	5,737,028	04-1998	Bertram et al.	725	37	
	L	5,748,493	05-1998	Lightfoot et al.	709	227	
	M	5,764,873	06-1998	Magid et al.	345	769	
	N	5,771,435	06-1998	Brown	455	005.100	
	O	5,790,170	08-1998	Suzuki	348	2	
	P	5,808,611	09-1998	Johnson et al.	345	804	
	Q	5,969,748	10-1999	Casement et al.	735	27	05-29-1996
	R	5,978,043	11-1999	Blonstein et al.	348	569	12-27-1996
	S	5,986,650	11-1999	Ellis et al.	725	40	07-03-1996
	T	5,990,890	11-1999	Etheredge, Robert C,	345	808	08-25-1997
	U	6,023,267	02-2000	Chapuis et al.	345	810	
	V	6,072,982	06-2000	Haddad, Joseph C.	455	004.200	04-02-1998

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)**

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\* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

EXAMINER'S SIGNATURE:

DATE CONSIDERED:

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Examiner Initials	Item	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	W	6,016,348	01-2000	Blatter et al.	380	228	11-27-1996
	X	6,020,912	02-2000	De Lang	725	91	07-09-1996
	Y	6,025,837	02-2000	Matthews, III, et al.	715	721	03-29-1996
	Z	6,094,680	07-2000	Hokanson	709	223	06-27-1996
	AA	6,108,002	08-2000	Ishizaki, Masayuki	725	58	
	AB	6,157,377	12-2000	Shah-Nazaroff et al.	715	719	10-30-1998
	AC	6,163,272	12-2000	Goode et al.	725	30	10-25-1996
	AD	6,166,730	12-2000	Goode et al.	715	716	05-28-1999
	AE	6,239,845	05-2001	Itagaki, et al.	348	552	08-19-1997
	AF	6,292,624	09-2001	Saib et al.	386	83	
	AG	6,323,911	11-2001	Schein et al.	348	552	
	AH	6,327,628	12-2001	Anuff et al.	719	311	05-19-2000
	AI	6,357,046	03-2002	Thompson et al.	725	139	06-23-1998
	AJ	6,359,636	03-2002	Schindler, et al.	715	846	07-17-1995
	AK	6,362,841	03-2002	Nykanen	715	835	02-19-1999
	AL	6,396,549	05-2002	Weber	348	734	11-19-1997
	AM	6,400,280	06-2002	Osakabe	340	825.25	02-15-1997
	AN	6,532,589	03-2003	Proehl et al.	725	40	
	AO	6,545,669	04-2003	Kinawi et al.	345	173	12-21-1999
	AP	6,609,253	08-2003	Swix et al.	725	88	12-30-1999
	AQ	6,628,302	09-2003	White et al.	715	717	11-30-1998
	AR	6,651,044	11-2003	Stoneman	706	10	04-27-1999
	AS	6,701,523	03-2004	Hancock et al.	725	25	09-16-1999

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)**

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<i>(Use several sheets if necessary)</i>					Filing Date <b>February 13, 2004</b>		Group <b>2614</b>	

  

U.S. PATENT DOCUMENTS							
Examiner Initials	Item	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	AT	2002/0026496	02-2002	Boyer et al.	709	218	
	AU	2003/0061619 A1	03-2003	Giammaressi	725	95	09-28-1999
	AV	2003/0159147 A1	08-2003	Young et al.	725	47	08-12-1998

  

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		Document Number	Date	Country	Class	Subclass	Translation
							Yes    No
	AW	WO 96/41477	12-1996	PCT	H04N	7/173	
	AX	WO 98/31116	07-1998	PCT	H04J		
	AY	WO 99/60790	11-1999	PCT	H04N	7/173	
	AZ	WO 01/06788	01-2001	PCT	H04N	7/173	
	BA	EP 0 725 538	05-1997	EPO	H04N	5/44	

  

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, etc.)</i>		
	BB	US Provisional Application (Now Expired) Serial No. 60/214,987, filed June 29, 2000, entitled: Video-on-Demand Options for the Scientific Atlanta Video-on-Demand Application
	BC	Addington, Timothy H., "System Architecture Specification for Video-On-Demand Application Development on the Explorer 2000™ Digital Home Communications Terminal", Revision 1.10r Review Copy, 04 March 1999
	BD	"Client User Interface Specification (Phase I) for Video-On-Demand Application Development on the Explorer 2000™ Digital Home Communications Terminal", Revision 1.10, 31 August 1998.
	BE	US Patent Application No. 09/330,792, filed 06/11/1999, Knudson et al., entitled: "Series Reminders and Series Recording from an Interactive Television program Guide"

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